

Prithvi Exchange (India) Limited



21st April 2022

The Manager,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai, 400 001

Dear Sir/ Madam,

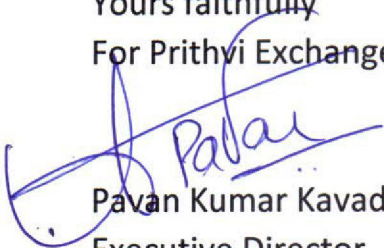
Sub Annual Secretarial Compliance Report for the year ended March 31, 2022 pursuant Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Ref: Scrip code 531688

With reference to captioned subject, we are submitting herewith an Annual Secretarial Compliance Report for the year ended March 31, 2022.

Please take the same on record.

Thank you,
Yours faithfully
For Prithvi Exchange (India) Limited


Pavan Kumar Kavad
Executive Director

SECRETARIAL COMPLIANCE REPORT

For the financial year ended 31ST March 2022 of
M/s. PRITHVI EXCHANGE (INDIA) LIMITED

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 for the Purpose of compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To
PRITHVI EXCHANGE (INDIA) LIMITED
Gee Gee Universal, 2nd Floor, Door No. 2,
Mc Nichols Road, Chetpet, Chennai - 600 031.

Dear Sir/Madam

We have conducted the Secretarial Compliance Audit of the applicable SEBI (Securities and Exchange Board of India) Regulations and the circulars/ guidelines issued there under for the Financial Year ended 31st March 2022 for M/s. PRITHVI EXCHANGE (INDIA) LIMITED, having CIN: L30006TN1995PLC031931, ("the Company"), The audit was conducted in a manner that provided me a reasonable basis for evaluating the statutory compliances and expressing our opinion thereon.

We have examined

- a) the documents and Records, made available to us and explanation provided by the Company.
- b) the filings/ submissions made by the Company to the Stock exchange.
- c) Compliances made at the website of the listed entity.
- d) Other document/ filing, as may be relevant, which has been relied upon to make this certification.

For the year ended as on March 31st 2022 (Review Period) in respect of Compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI"); are made wherever applicable.

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) The Provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) The Provisions of Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, including the provisions with regard to disclosures and maintenance of records required under the said regulation.
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; were not applicable.
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; were not applicable
- (f) The provisions of Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; were not applicable
- (g) The provisions of Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; were not applicable.
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; including the provisions with regard to disclosure and maintenance of records required under the said Regulations;
- (i) The provisions of the Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- (j) The provisions of Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009 were not applicable during the review period.

Based on our examination and verification of the documents and records produced to us and according to the information and explanations given to me by the Company, We report that:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from our examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under: Nil
- (d) The listed entity has taken the following actions to comply with the Compliances; there were no observation or adverse remark.

Yours Faithfully
For V.Esaki & Associates
Company Secretaries

VENUGO Digitally signed by
VENUGOPAL ESAKI
PAL ESAKI Date: 2022.04.21
11:39:20 +05'30'

(V.Esaki)
Proprietor / Membership No: 30353
UDIN: A030353D000173947
Place : Chennai
Date : 21/04/2022